

On Broken Supersymmetry and Vacuum Stability in Supergravity and String Theory

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Based on: 1811.11448, 1711.11494, 1612.08566[hep-th]

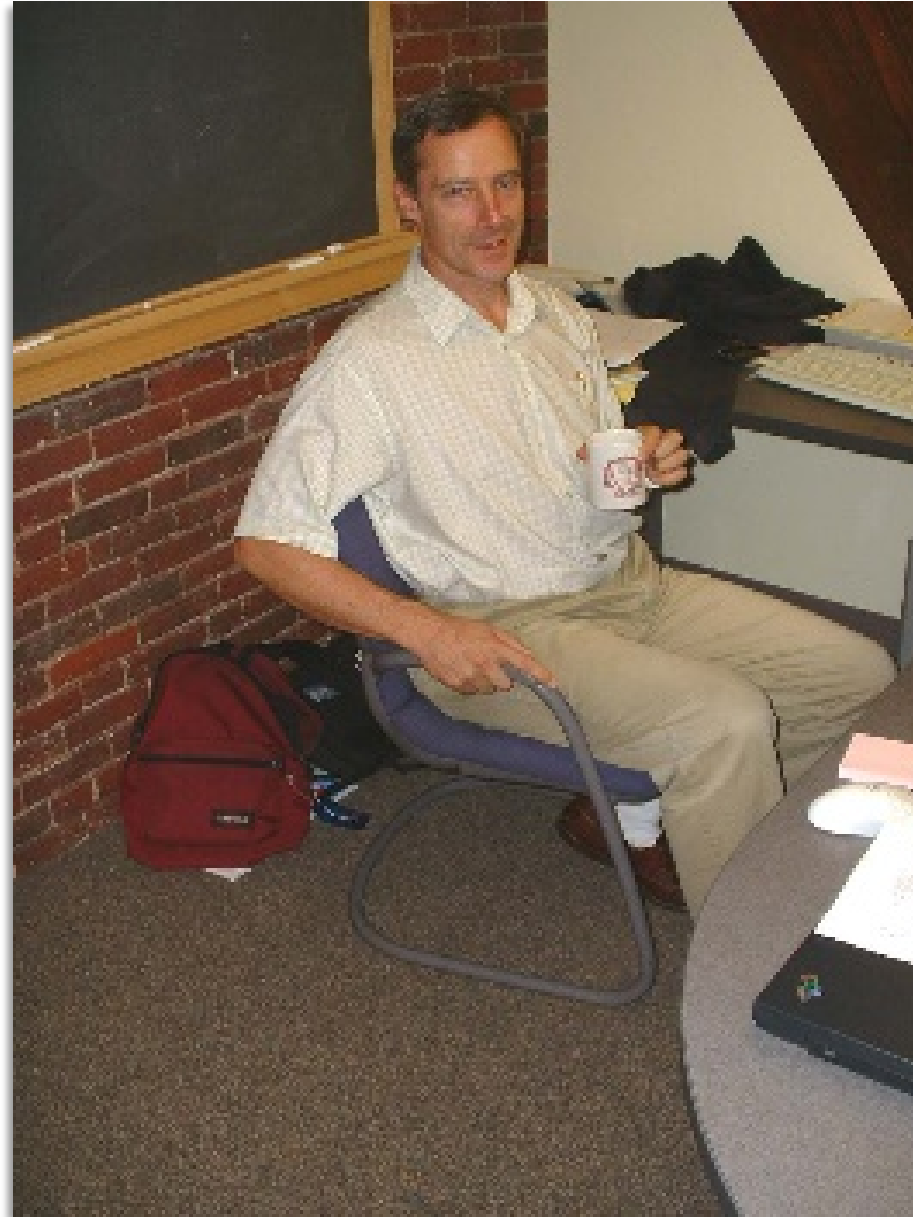
and refs therein

AS and J. Mourad, to appear

Julia Fest ENS – Paris, December 16–17, 2019

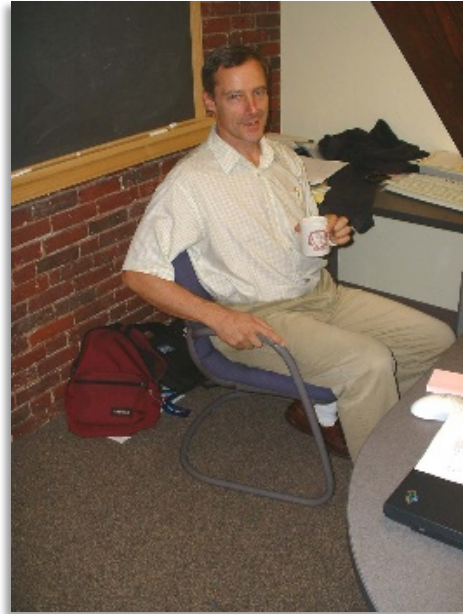


We are here to celebrate our friend Bernard Julia



A. Sagnotti – Julia Fest, December 2019

ALSO: a Trio and a Masterpiece (Eugene Cremmer, Bernard Julia and Joel Scherk)

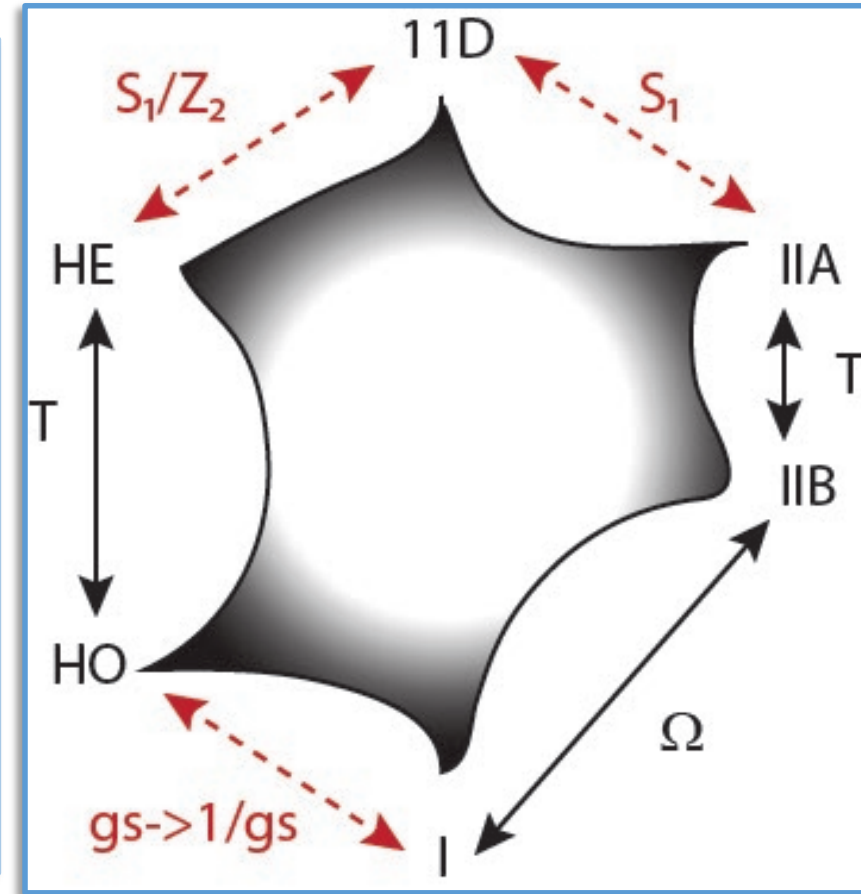


$$\begin{aligned}
 \mathcal{S} &= \frac{1}{2k_{11}^2} \int d^{11}x e \left[e_A^M e_B^N R_{MN}{}^{AB}(\omega) \right. \\
 &- i \bar{\psi}_M \gamma^{MNP} D_N \left(\frac{\omega + \hat{\omega}}{2} \right) \psi_P - \frac{1}{24} F_{ABCD} F^{ABCD} \\
 &- \frac{i\sqrt{2}}{192} \left(\bar{\psi}_M \gamma^{MNABCD} \psi_N + 12 \bar{\psi}^A \gamma^{CD} \psi^B \right) \left(F_{ABCD} + \hat{F}_{ABCD} \right) \\
 &\left. - \frac{2\sqrt{2}}{(144)^2} \epsilon^{A_1 \dots A_4 B_1 \dots B_4 MNP} F^{A_1 \dots A_4} F^{B_1 \dots B_4} A_{MNP} \right] \\
 \delta e_M^A &= \frac{i}{2} \bar{\epsilon} \gamma^A \psi_M , \\
 \delta \psi_\mu &= D_M \epsilon + \frac{\sqrt{2}}{288} \left(\gamma^{ABCD}{}_M - 8 \delta_M^A \gamma^{BCD} \right) F_{ABCD} \epsilon , \\
 \delta A_{MNP} &= - \frac{3\sqrt{2}i}{4} \bar{\epsilon} \gamma_{[MN} \psi_{P]}
 \end{aligned}$$

The Problem

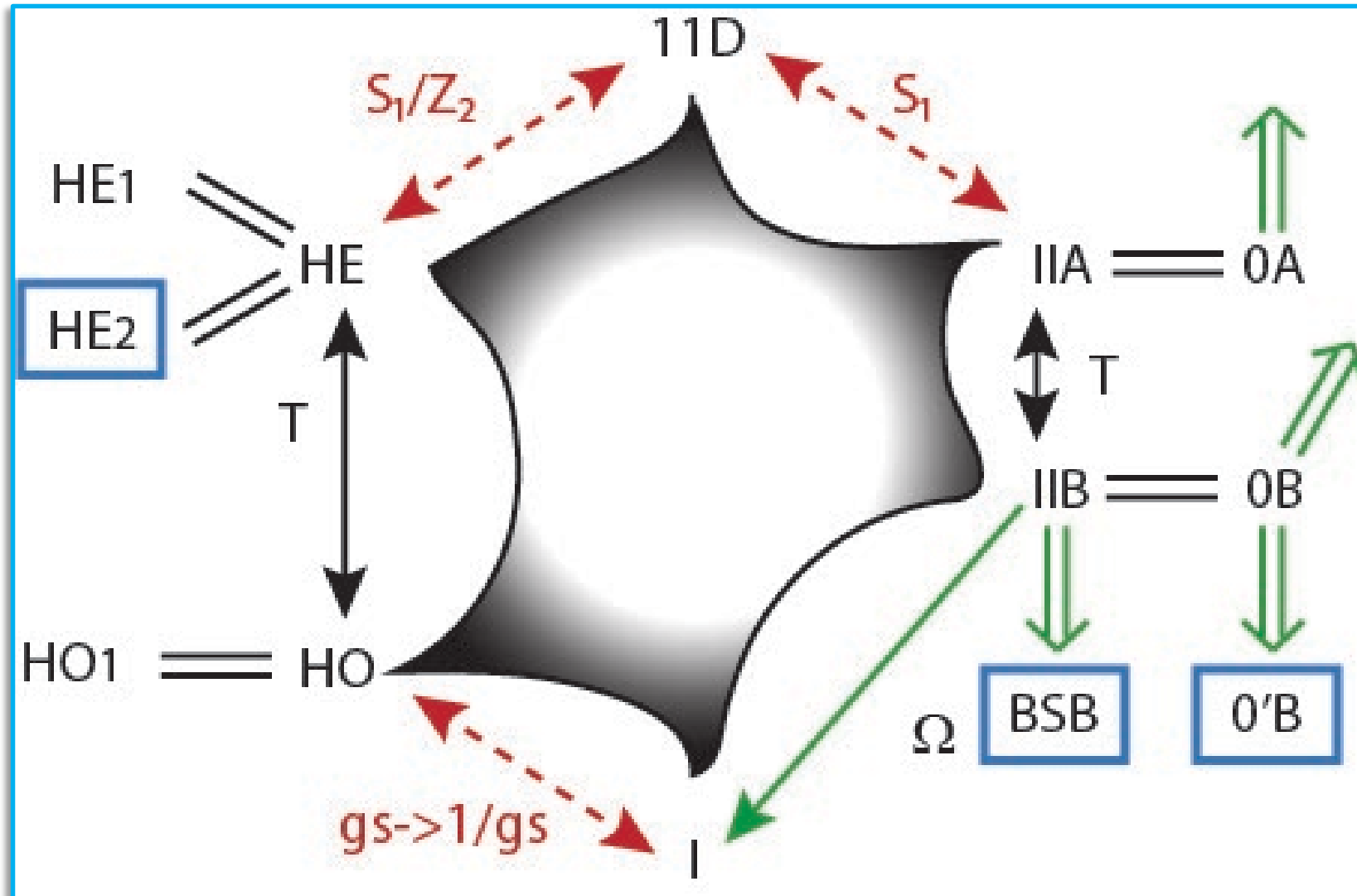
The (SUSY) 10D-11D Zoo

- **11D: highest point** of (SUSY) String Theory
- Exhibits **dramatically our limits**
- **Solid arrows** \rightarrow perturbative
- 10&11D supergravity \rightarrow **Dashed arrows**
- **SUSY**: stabilizes these 10D Minkowski vacua



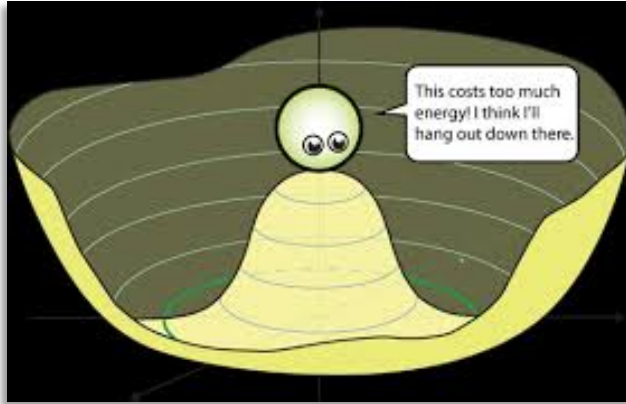
BROKEN SUSY ?

The 10D-11D Zoo



Vacuum Configurations & String Theory

- NO SUSY → TACHYONS!



- NO - TACHYONS? MAJOR RESULT → G. S. O. & SUSY-SUGRA

(Gliozzi, Scherk, Olive, 1977)

- MORE GENERALLY NO TACHYONS → YES!

- ❖ 3 D=10 SUSY STRINGS:

- SO(16)xSO(16) (HETEROTIC)

(Dixon, Harvey, 1986)

(Alvarez-Gaumé, Ginsparg, Moore, Vafa, 1986)

- U(32) O'B (ORIENTIFOLD)

(AS, 1995)

- Usp(32) (ORIENTIFOLD) → "Brane SUSY Breaking" (HIDDEN SUSY)

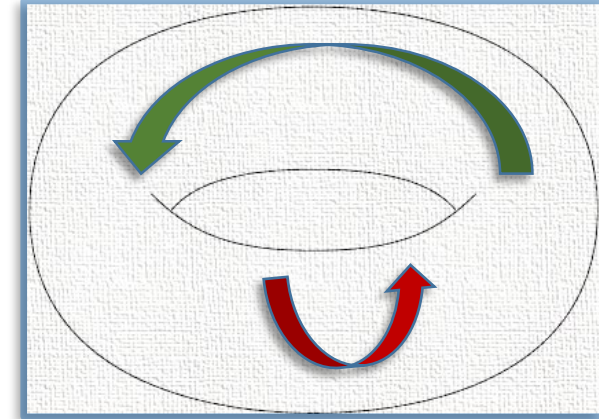
(Sugimoto, 1999, Antoniadis, Dudas, AS, 1999)

10D (Closed) Superstrings

❖ Building principles of (closed) string spectra & vacuum energy:

- spin-statistics (*GSO projections*)
- modular invariance

(Gliozzi, Scherk, Olive, 1977)



• IIA, IIB:

$$\mathcal{T}_{IIA} = \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{(V_8 - S_8)(\bar{V}_8 - \bar{C}_8)}{(Im\tau)^4 \eta^8 \bar{\eta}^8} \quad \mathcal{T}_{IIB} = \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{|V_8 - S_8|^2}{(Im\tau)^4 \eta^8 \bar{\eta}^8}$$

IIA : $(e_\mu^a, B_{\mu\nu}, \phi, \psi_{\mu L+R}, \psi_{L+R}, A_\mu, C_{\mu\nu\rho})$
 IIB : $(e_\mu^a, B_{\mu\nu}^{1,2}, \phi^{1,2}, \psi_{\mu L}^{1,2}, \psi_R^{1,2}, D_{\mu\nu\rho\sigma}^+)$

• HE, HO:

$$\mathcal{T}_{HE} = \int_{\mathcal{F}} \frac{d^2\tau}{Im\tau^2} \frac{(V_8 - S_8)(\bar{O}_{16} + \bar{S}_{16})^2}{Im\tau^4 \eta^8 \bar{\eta}^8} \quad \mathcal{T}_{HO} = \int_{\mathcal{F}} \frac{d^2\tau}{Im\tau^2} \frac{(V_8 - S_8)(\bar{O}_{32} + \bar{S}_{32})}{Im\tau^4 \eta^8 \bar{\eta}^8}$$

HE : $(e_\mu^a, B_{\mu\nu}, \phi, \psi_{\mu L}, \psi_R) \oplus (E_8 \times E_8 \text{ super YM})$
 HO : $(e_\mu^a, B_{\mu\nu}, \phi, \psi_{\mu L}, \psi_R) \oplus (SO(32) \text{ super YM})$

$$\text{SUSY: } V_8 = S_8 = C_8$$

• OA, OB:

$$\mathcal{T}_{0A} = \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{|O_8|^2}{(Im\tau)^4 \eta^8 \bar{\eta}^8} \frac{|V_8|^2 + S_8 \bar{C}_8 + C_8 \bar{S}_8}{(Im\tau)^4 \eta^8 \bar{\eta}^8} \quad \mathcal{T}_{0B} = \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{|O_8|^2}{(Im\tau)^4 \eta^8 \bar{\eta}^8} \frac{|V_8|^2 + |S_8|^2 + |C_8|^2}{(Im\tau)^4 \eta^8 \bar{\eta}^8}$$

0A : $(e_\mu^a, B_{\mu\nu}, \phi, T, A_\mu^{1,2}, C_{\mu\nu\rho}^{1,2})$
 0B : $(e_\mu^a, B_{\mu\nu}^{1,2,3}, \phi^{1,2,3}, T, D_{\mu\nu\rho\sigma})$

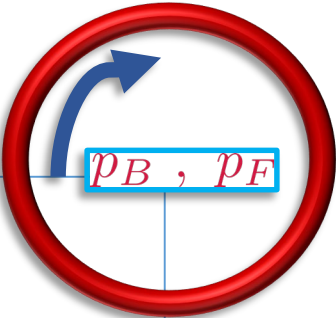
❖ $H_{16 \times 16}$:

$$\mathcal{T}_{SO(16) \times SO(16)} = \int_{\mathcal{F}} \frac{d^2\tau}{Im\tau^2} \frac{1}{Im\tau^4 \eta^8 \bar{\eta}^8} [O_8(\bar{V}_{16} \bar{C}_{16} + \bar{C}_{16} \bar{V}_{16}) + V_8(\bar{O}_{16} \bar{O}_{16} + \bar{S}_{16} \bar{S}_{16}) - S_8(\bar{O}_{16} \bar{S}_{16} + \bar{S}_{16} \bar{O}_{16}) - C_8(\bar{V}_{16} \bar{V}_{16} + \bar{C}_{16} \bar{C}_{16})]$$

$(e_\mu^a, B_{\mu\nu}, \phi) \oplus A_\mu^{(120,1) \oplus (1,120)} \oplus \psi_L^{(128,1) \oplus (1,128)} \oplus \psi_R^{(16,16)}$

(Dixon, Harvey, Seiberg, Witten, 1986)
 (Alvarez-Gaumé, Ginsparg, Moore, Vafa, 1986)

SUSY Breaking (in String Theory)



- (CIRCLE) SCHERK-SCHWARZ: MODIFY KALUZA-KLEIN FOR FERMIONS

$$p_B = \frac{m}{R} [\psi_B(x + 2\pi R) = \psi_B(x)] \quad p_F = \frac{m + \frac{1}{2}}{R} [\psi_F(x + 2\pi R) = -\psi_F(x)]$$

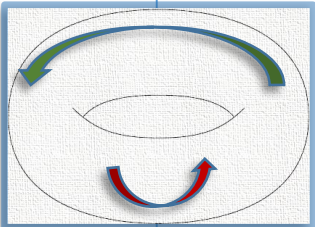
(Scherk Schwarz, 1979)

- [N=8 SUGRA & DIVERGENCE CANCELATIONS] (Cremmer, Scherk, Schwarz, 1979; Segin, van Nieuwenhuizen, 1982)

- BUT: MORE SUBTLE & COMPLICATED IN STRING THEORY

(Rohm, 1984; Ferrara, Kounnas, Porrati, Zwirner, 1989)

$$\mathcal{T}_{IIB} \rightarrow \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{1}{(Im\tau)^{\frac{7}{2}} \eta^8 \bar{\eta}^8} \times \left[(V_8 \bar{V}_8 + S_8 \bar{S}_8) \sum_{m,n} \frac{1 + (-1)^m}{2} \Lambda_{m,n} - (V_8 \bar{S}_8 + S_8 \bar{V}_8) \sum_{m,n} \frac{1 - (-1)^m}{2} \Lambda_{m,n} \right. \\ \left. (O_8 \bar{O}_8 + C_8 \bar{C}_8) \sum_{m,n} \frac{1 + (-1)^m}{2} \Lambda_{m,n+\frac{1}{2}} - (O_8 \bar{C}_8 + C_8 \bar{O}_8) \sum_{m,n} \frac{1 - (-1)^m}{2} \Lambda_{m,n+\frac{1}{2}} \right]$$

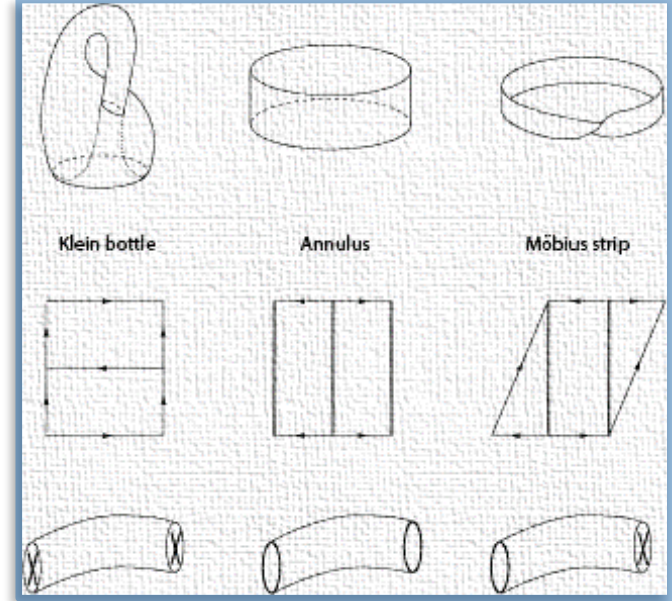


- [MODULAR INVARIANCE → twisted sector with TACHYONS if $|\mathcal{M}_B - \mathcal{M}_F| \gtrsim \frac{1}{\sqrt{\alpha'}}$!!]

10D Tachyon-Free Orientifolds

$(e_\mu^a, B_{\mu\nu}, D_{\mu\nu\rho\sigma}^+, \phi, \phi') \oplus (A_{\mu a}{}^b, \lambda^{[ab]}, \lambda_{[ab]}), \quad \text{U(32) gauge group} \quad (\text{AS, 1995})$

$$\begin{aligned} \mathcal{T}_{O'B} &= \frac{1}{2} \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{|O_8|^2 + |V_8|^2 + |S_8|^2 + |C_8|^2}{(Im\tau)^4 \eta^8 \bar{\eta}^8} [\tau, \bar{\tau}] & \mathcal{K}_{O'B} &= \frac{1}{2} \int_0^\infty \frac{d\tau_2}{(\tau_2)^2} \frac{-O_8 - V_8 + S_8 - C_8}{(\tau_2)^4 \eta^8} [2i\tau_2] \\ \mathcal{A}_{O'B} &= \int_0^\infty \frac{d\tau_2}{(\tau_2)^2} \frac{\mathcal{N}\bar{\mathcal{N}} V_8 - \frac{\mathcal{N}^2 + \bar{\mathcal{N}}^2}{2} C_8}{(\tau_2)^4 \eta^8} [i\tau_2/2] & \mathcal{M}_{O'B} &= \frac{\mathcal{N} + \bar{\mathcal{N}}}{2} \int_0^\infty \frac{d\tau_2}{(\tau_2)^2} \frac{\hat{C}_8}{(\tau_2)^4 \hat{\eta}^8} [i\tau_2/2 + 1/2] \\ & & & \mathcal{N} = \bar{\mathcal{N}} = 32 \end{aligned}$$



$(e_\mu^a, B_{\mu\nu}, \phi, \psi_\mu, \psi) \oplus (A_\mu^{(ab)}, \lambda^{[ab]}), \quad \text{USp(32) gauge group} \quad (\text{Sugimoto, 1999})$

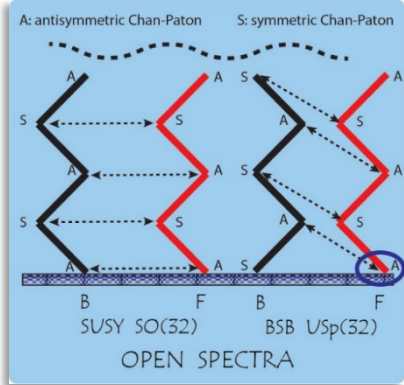
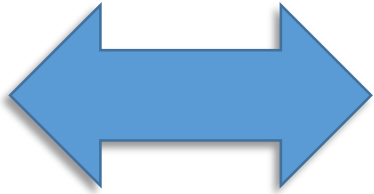
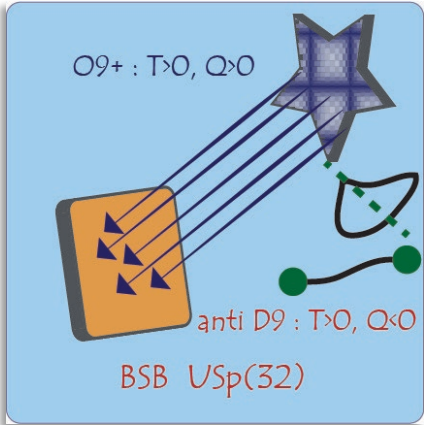
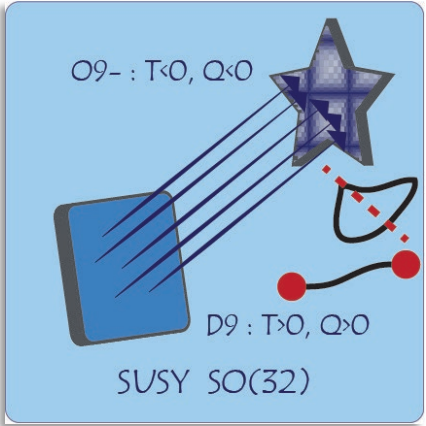
$$\begin{aligned} \mathcal{T}_{USp(32)} &= \frac{1}{2} \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{|V_8 - S_8|^2}{(Im\tau)^4 \eta^8 \bar{\eta}^8} [\tau, \bar{\tau}] & \mathcal{K}_{USp(32)} &= \frac{1}{2} \int_0^\infty \frac{d\tau_2}{(\tau_2)^2} \frac{V_8 - S_8}{(\tau_2)^4 \eta^8} [2i\tau_2] \\ \mathcal{A}_{USp(32)} &= \frac{\mathcal{N}^2}{2} \int_0^\infty \frac{d\tau_2}{(\tau_2)^2} \frac{V_8 - S_8}{(\tau_2)^4 \eta^8} [i\tau_2/2] & \mathcal{M}_{USp(32)} &= -\frac{\mathcal{N}}{2} \int_0^\infty \frac{d\tau_2}{(\tau_2)^2} \frac{-\hat{V}_8 - \hat{S}_8}{(\tau_2)^4 \hat{\eta}^8} [i\tau_2/2 + 1/2] \\ & & & \mathcal{N} = 32 \end{aligned}$$

Only sign flip w.r.t. type-I SUSY SO(32)!

Brane SUSY Breaking in String Theory

- ❖ (Non-linear) SUSY
- ❖ NO TACHYONS

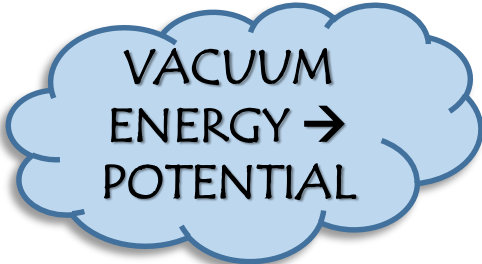
(Sugimoto, 1999)
 (Antoniadis, Dudas, AS, 1999)
 (Angelantonj, 1999)
 (Aldazabal, Uranga, 1999)



$$\mathcal{S} = \frac{1}{2k_{10}^2} \int d^{10}x \sqrt{-G} \left\{ e^{-2\phi} [-R + 4(\partial\phi)^2] - \frac{1}{12} \mathcal{H}_3^2 - \frac{1}{4} e^{-\phi} \text{tr } \mathcal{F}^2 - T e^{-\phi} + \dots \right\}$$

- SO(32): $N(N-1)/2$ vectors and spinors
- Usp(32): $N(N+1)/2$ vectors, $N(N-1)/2$ spinors \supset GOLDSTINO

(Dudas, Mourad, 2000)



[Constrained Superfields in D=4]

❖ Consider an $O(N)$ invariant scalar model:

- For $\lambda \rightarrow \infty$: a σ -model describing the dynamics in the valley of minima

$$\mathcal{S} = \int d^D x \left[-\frac{1}{2} \partial_\mu \phi^T \partial^\mu \phi - \lambda (\phi^T \phi - \rho^2)^2 \right]$$

$$\mathcal{S} = - \int d^D x \frac{1}{2} \partial_\mu \phi^T \partial^\mu \phi, \quad \phi^T \phi = \rho^2$$

❖ In Wess-Zumino multiplet of 4D SUSY, when the scalar becomes very massive

- A fermion with broken SUSY.
Volkov-Akulov (1973) model (up to field redefinitions)

(Casalbuoni, De Curtis, Dominici, Feruglio, Gatto, 1989)
(Komargodski, Seiberg, 2009)

$$\mathcal{S} = \int d^4 x d^2 \theta d^2 \bar{\theta} [\bar{\Phi} \Phi + \lambda (\bar{\Phi} \Phi)^2] + \int d^4 x d^2 \theta f \Phi + \text{h.c.}$$

$$\lambda \rightarrow \infty : \quad \Phi = \frac{\psi^\alpha \psi_\alpha}{2F} + \theta^\alpha \psi_\alpha + \frac{\theta^2}{2} F, \quad \Phi^2 = 0 \quad [F \neq 0]$$

*"Vacuum" Solutions
with Broken SUSY*

Cosmological Potentials

- What potentials lead to slow-roll, and where?

$$ds^2 = -dt^2 + e^{2A(t)} dx \cdot dx$$



$$\ddot{\phi} + 3\dot{\phi} \sqrt{\frac{1}{3} \dot{\phi}^2 + \frac{2}{3} V(\phi)} + V' = 0$$

Driving force from V' vs friction from V

- **If V does not vanish**: convenient gauge "makes the damping term neater"

$$ds^2 = e^{2B(t)} dt^2 - e^{\frac{2A(t)}{d-1}} dx \cdot dx$$

$$V e^{2B} = V_0$$

$$\tau = t \sqrt{\frac{d-1}{d-2}}, \quad \varphi = \phi \sqrt{\frac{(d-1)}{2(d-2)}}$$

$$\begin{aligned} \dot{A}^2 - \dot{\phi}^2 &= 1 \\ \ddot{\varphi} + \dot{\varphi} \sqrt{1 + \dot{\varphi}^2} + \frac{V_\varphi}{2V} (1 + \dot{\varphi}^2) &= 0 \end{aligned}$$

- Now driving from $\log V$ vs $O(1)$ damping

$$V = \varphi^n \rightarrow \frac{V'}{2V} = \frac{n}{2\varphi}$$

❖ **Quadratic potential?** Far away from origin

(Linde, 1983)

❖ **Exponential potential?** YES or NO

$$V(\varphi) = V_0 e^{2\gamma\varphi} \rightarrow \frac{V'}{2V} = \gamma$$

The Climbing Scalar

- $\gamma < 1$? Both signs of speed

(Halliwell, 1987;..., Dudaş and Mourad, 1999; Russo, 2004)
 (Dudaş, Kitazawa, AS, 2010)
 (Dudaş, Condeescu, 2013)

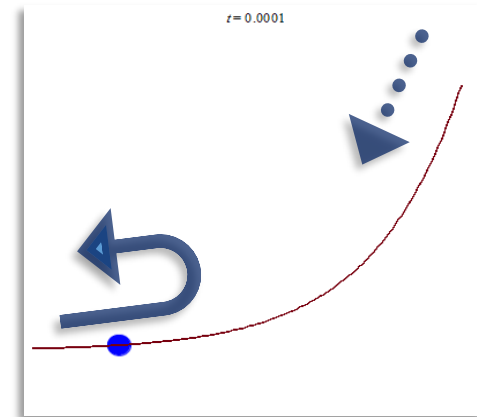
$$\ddot{\varphi} + \dot{\varphi} \sqrt{1 + \dot{\varphi}^2} + \gamma (1 + \dot{\varphi}^2) = 0$$

- "Climbing" solution (φ climbs, then descends $\rightarrow e^\varphi$ BOUNDED)
- "Descending" solution (φ only descends)

Limiting τ - speed (LM attractor):

$$v_{lim} = -\frac{\gamma}{\sqrt{1 - \gamma^2}}$$

(Lucchin and Matarrese, 1985)



$\gamma = 1$ is "critical": LM attractor & descending solution disappear there and beyond!

CLIMBING : BSB ($U_{sp}(32)$) and $U(32)$ HAVE **PRECISELY $\gamma = 1$!**
 [ALL 3 TACHYON-FREE MODELS \rightarrow exponential potentials with $\gamma \geq 1$] !

- $\gamma = 1$:

$$\varphi(\tau) = \varphi_0 + \frac{1}{2} \left[\log |\tau - \tau_0| - \frac{1}{2} (\tau - \tau_0)^2 \right]$$

$$\mathcal{A}(\tau) = \mathcal{A}_0 + \frac{1}{2} \left[\log |\tau - \tau_0| + \frac{1}{2} (\tau - \tau_0)^2 \right]$$

Critical Exponentials and BSB

(Dudas, Kitazawa, AS, 2010)
(AS, 2013)
(Fré, AS, Sorin, 2013)

$$\mathcal{S} = \frac{1}{2k_N^2} \int d^{10}x \sqrt{-\det g} \left[R + \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - T e^{\frac{3}{2} \phi} + \dots \right] \longrightarrow \gamma = 1 \text{ (for } \varphi \text{)}$$

- $D < 10$: two combinations of ϕ and "breathing mode" $\sigma \rightarrow (\Phi_s, \Phi_t)$
- Φ_t yields a "critical" ϕ potential ($\gamma = 1$) if Φ_s is stabilized

$$S_d = \frac{1}{2\kappa_d^2} \int d^d x \sqrt{-g} \left[R + \frac{1}{2} (\partial\Phi_s)^2 + \frac{1}{2} (\partial\Phi_t)^2 - T_9 e^{\sqrt{\frac{2(d-1)}{d-2}} \Phi_t} + \dots \right]$$

- **If Φ_s is stabilized:** a p-brane that couples via $(g_s)^{-\alpha}$
[the D9-brane we met before had $p=9, \alpha=1$]

$$\gamma = \frac{1}{12} (p + 9 - 6\alpha)$$



$$V = V_0 (e^{2\varphi} + e^{2\gamma\varphi}) \quad (\gamma < 1)$$

Fast-Roll and Mukhanov-Sasaki Equation

- MS equation :
$$\left(\frac{d^2}{d\eta^2} + k^2 - W_s(\eta) \right) v_k(\eta) = 0$$

- Limiting W_s : $W_s \underset{\eta \rightarrow -\eta_0}{\sim} -\frac{1}{4} \frac{1}{(\eta + \eta_0)^2}$ $W_s \underset{\eta \rightarrow -0}{\sim} \frac{\nu^2 - \frac{1}{4}}{\eta^2}$ $\left(\nu = \frac{3}{2} \frac{1 - \gamma^2}{1 - 3\gamma^2} \right)$

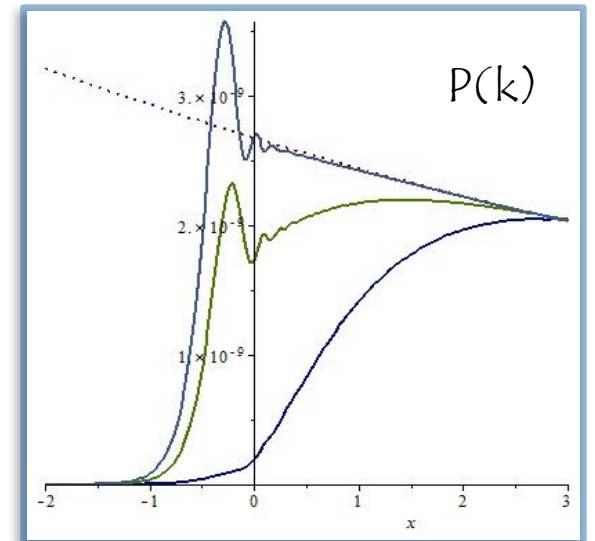
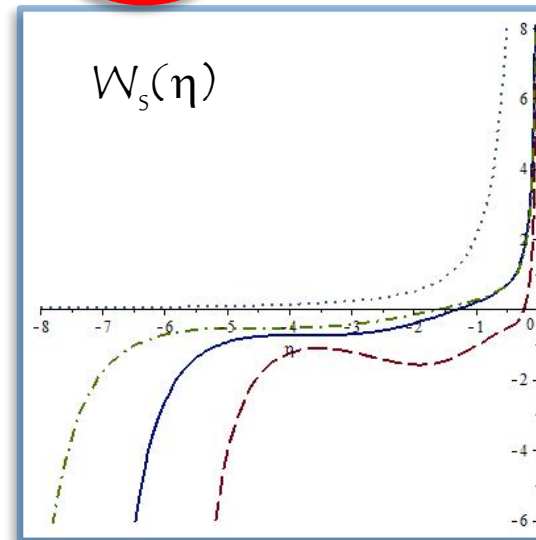
(Dudas, Kitazawa, Patil, AS, 2013)
(Kitazawa, AS, 2014)

- Power :

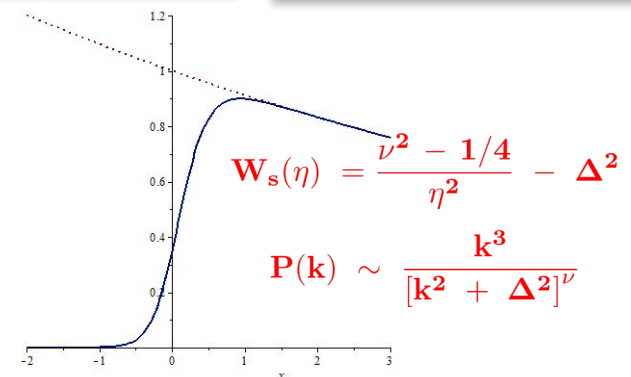
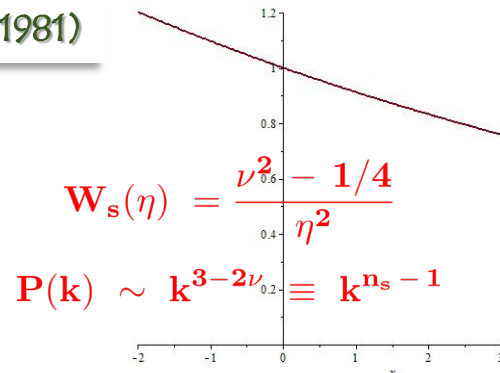
$$P(k) = \frac{k^3}{2\pi^2} \left| \frac{v_k(-\epsilon)}{z(-\epsilon)} \right|^2$$

❖ Pre-inflationary fast roll : $P(k) \sim k^3$

WKB : $v_k(-\epsilon) \sim \frac{1}{\sqrt[4]{|W_s(-\epsilon) - k^2|}} \exp\left(\int_{-\eta^*}^{-\epsilon} \sqrt{|W_s(y) - k^2|} dy\right)$



(Chibisov, Mukhanov, 1981)



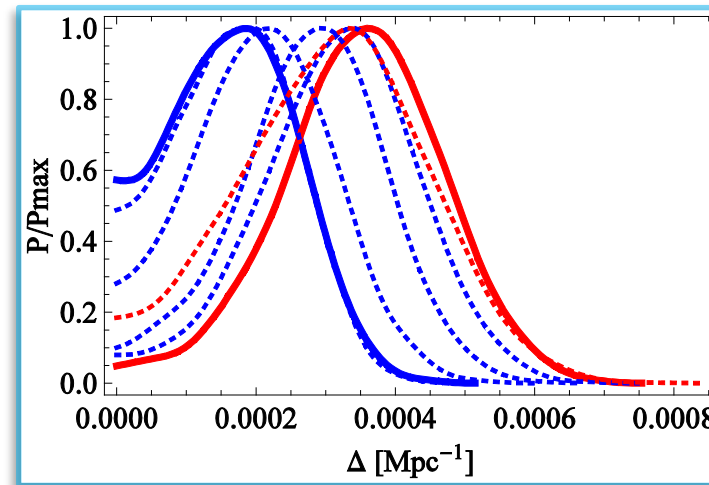
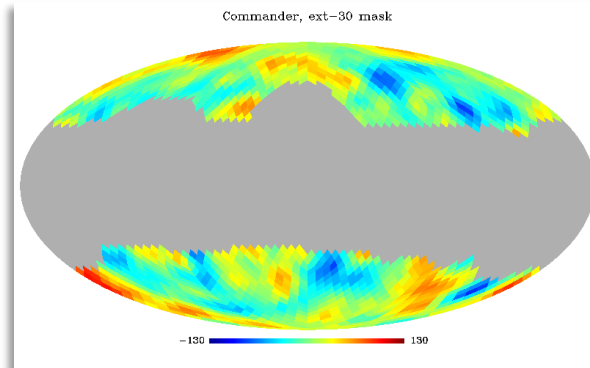
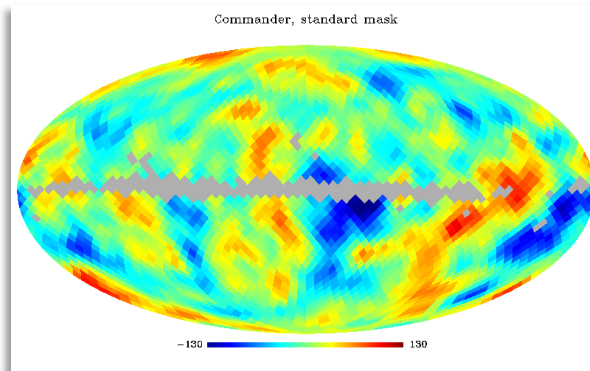
Pre-Inflationary Relics in the CMB?

(Gruppeno, Mandolesi, Natoli, Kitazawa, AS, 2015)

- Extend Λ CDM to allow for low- ℓ suppression:

$$\mathcal{P}(k) = A (k/k_0)^{n_s-1} \rightarrow \frac{A (k/k_0)^3}{\left[(k/k_0)^2 + (\Delta/k_0)^2 \right]^\nu}$$

- ❖ NO effects on standard Λ CDM parameters (6+16 nuisance)
- ❖ A new scale Δ . Preferred value? Depends on GALACTIC MASK

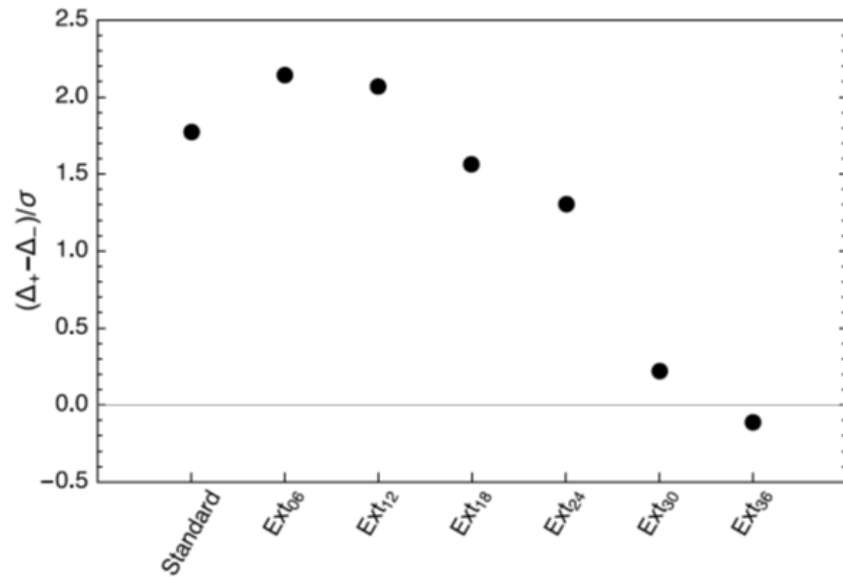
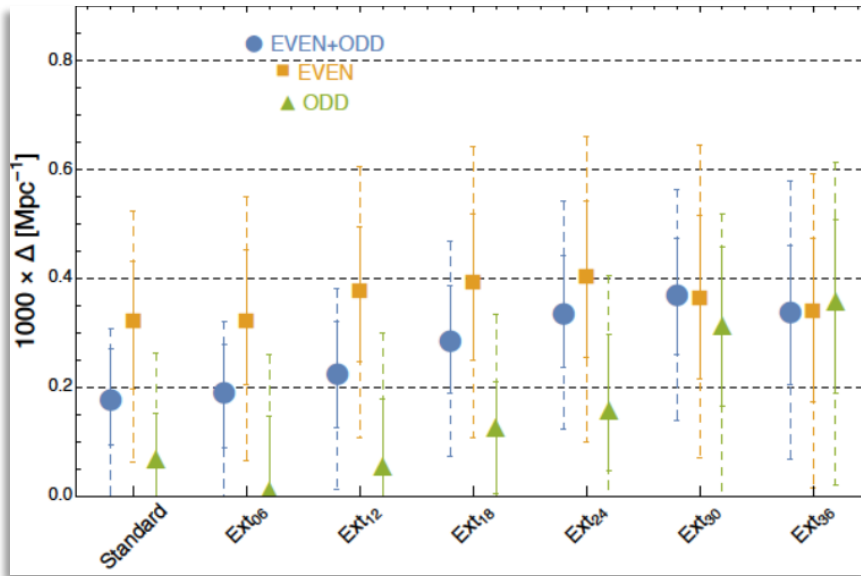


$\Delta = (0.351 \pm 0.114) \times 10^{-3} \text{ Mpc}^{-1}$
RED : + 30-degree extended mask
 > 99% confidence level

$$\Delta^{Infl} \sim 2.4 \times 10^{12} e^{N-60} \text{ GeV} \sim 10^{12} - 10^{14} \text{ GeV} \text{ for } N \sim 60 - 65$$

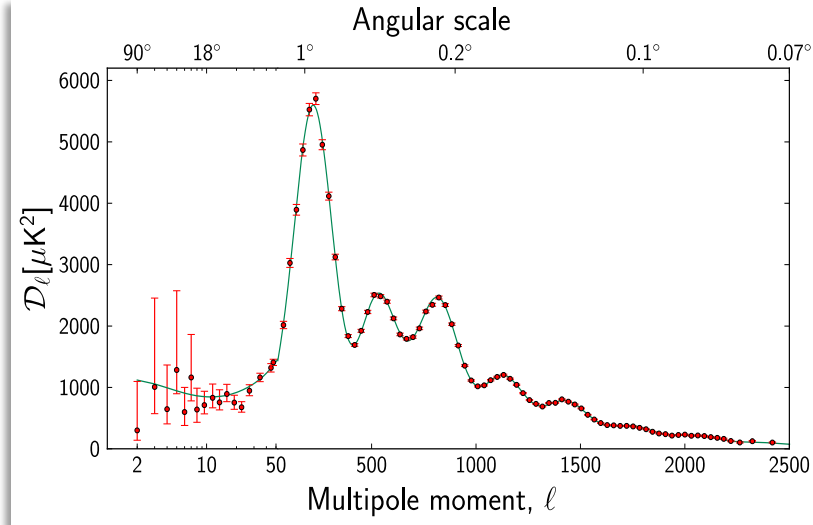
(Even & Odd) Detections of Δ

(Gruppuso, Kitazawa, Lattanzi, Mandolesi, Natoli, AS, 2017)

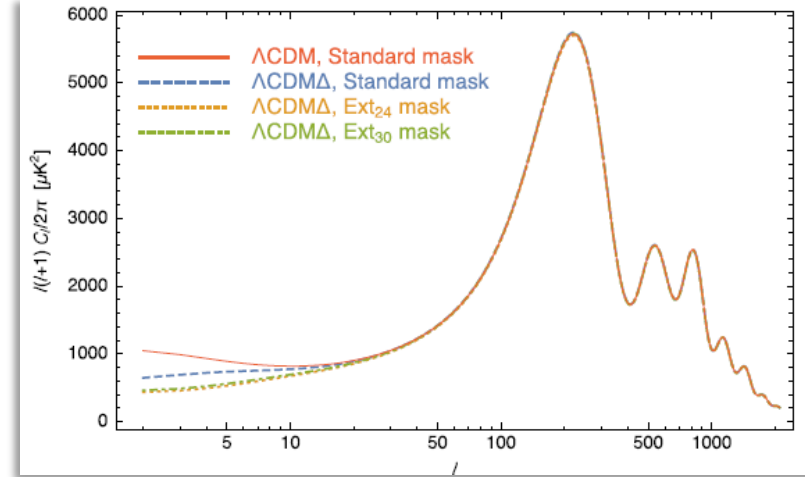


Case	Label	dataset	Detection level (%)	Detection level (σ)
<i>a</i>	Standard	full	93.26	1.83
<i>a</i>	Standard	even	98.59	2.46
<i>a</i>	Standard	odd	52.52	0.72
<i>b</i>	Ext ₀₆	full	92.30	1.77
<i>b</i>	Ext ₀₆	even	98.65	2.47
<i>b</i>	Ext ₀₆	odd	41.03	0.54
<i>c</i>	Ext ₁₂	full	96.41	2.10
<i>c</i>	Ext ₁₂	even	99.39	2.74
<i>c</i>	Ext ₁₂	odd	18.93	0.24
<i>d</i>	Ext ₁₈	full	99.15	2.63
<i>d</i>	Ext ₁₈	even	99.23	2.67
<i>d</i>	Ext ₁₈	odd	69.80	1.03
<i>e</i>	Ext ₂₄	full	99.32	2.71
<i>e</i>	Ext ₂₄	even	99.05	2.59
<i>e</i>	Ext ₂₄	odd	81.57	1.33
<i>f</i>	Ext ₃₀	full	99.84	3.16
<i>f</i>	Ext ₃₀	even	98.47	2.43
<i>f</i>	Ext ₃₀	odd	94.37	1.91
<i>g</i>	Ext ₃₆	full	98.60	2.46
<i>g</i>	Ext ₃₆	even	96.27	2.08
<i>g</i>	Ext ₃₆	odd	96.60	2.12

Prospects



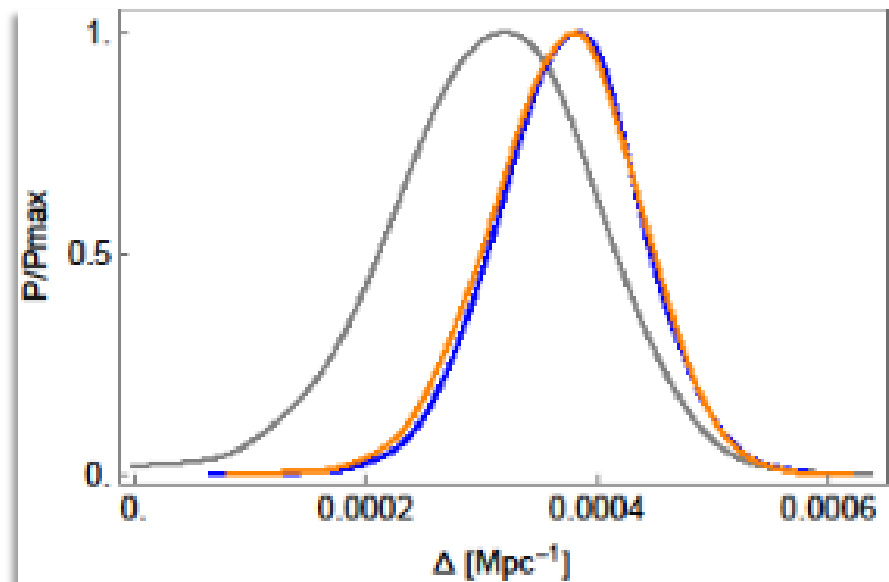
(Gruppuso, Kitazawa, Lattanzi, Mandolesi, Natoli, AS, 2017)



❖ Δ does not affect standard Λ CMB parameters

WHAT NEXT? POLARIZATION

- ❖ Cosmic-variance limited E-mode could allow a 5-6 σ detection of Δ (or could rule it out)
- ❖ Enhanced tensor (B) mode around the scale Δ (+large-scale suppression)
- ❖ [Enhanced non-gaussianity? $\sim (n_s - 1)$]



9D Dudas-Mourad Vacua

(Dudas, and Mourad, 2000, 2001)

$$\mathcal{S} = \frac{1}{2 k_{10}^2} \int d^{10}x \sqrt{-G} \left\{ -R - \frac{1}{2} (\partial\phi)^2 - \frac{1}{12} e^{\frac{3-p}{2}\phi} \mathcal{H}_3^2 - T e^{\frac{3}{2}\phi} + \dots \right\}$$

9D solution \rightarrow (INTERVAL) COMPACTIFICATION

Singularities at the ends (for the space-time geometry and for the string coupling)

$$\phi = \frac{3}{4} \alpha_0 y^2 + \frac{1}{3} \ln |\alpha_0 y^2| + \Phi_0 \quad (\alpha_0 \sim T)$$

$$ds_0^2 = |\alpha_0 y^2|^{\frac{1}{18}} e^{-\alpha_0 \frac{y^2}{8}} \eta_{\mu\nu} dx^\mu dx^\nu + e^{-\frac{3}{2}\Phi_0} |\alpha_0 y^2|^{-\frac{1}{2}} e^{-\frac{9}{8}\alpha_0 y^2} dy^2$$

[Applies to both $Usp(32)$ and $U(32)$, similar but more complicated results for heterotic $SO(16) \times SO(16)$]

- string loop corrections:** grow out of control for $y \rightarrow \infty$;
- curvature corrections:** similar problem near $y=0$.
- BUT: (BRANE) SUSY BREAKING induces a COMPACTIFICATION \rightarrow FINITE length $\sim \frac{1}{\sqrt{T}}$**
- FINITE 9D Planck mass and gauge coupling**

Orientifold Flux Vacua with BSB

(Mourad, AS, 2016)

- In this setting the field equations from

$$\mathcal{S} = \frac{1}{2k_{10}^2} \int d^{10}x \sqrt{-G} \left\{ -R - \frac{1}{2} (\partial\phi)^2 - \frac{1}{12} e^{\frac{3-p}{2}\phi} \mathcal{H}_3^2 - T e^{\frac{3}{2}\phi} + \dots \right\}$$

reduce to

$$\begin{aligned} (*) : T e^{\gamma_E \phi} &= - \frac{\beta_E^{(p)} h^2}{\gamma_E} e^{-2(8-p)C + 2\beta_E^{(p)}\phi} \\ 16k' e^{-2C} &= \frac{h^2 \left(p + 1 - \frac{2\beta_E^{(p)}}{\gamma_E} \right) e^{-2(8-p)C + 2\beta_E^{(p)}\phi}}{(7-p)} \\ (A')^2 &= k e^{-2A} + \frac{h^2}{16(p+1)} \left(7 - p + \frac{2\beta_E^{(p)}}{\gamma_E} \right) e^{-2(8-p)C + 2\beta_E^{(p)}\phi} \end{aligned}$$

- (*): Dilaton Eq: constraint from **positivity of l.h.s.** ($\beta_E < 0$ for orientifolds & $T > 0$, **NEED H_3 fluxes**)
- Third Eq: determines for $k=0$ $A \sim r$, and thus AdS in Poincaré coordinates (or in other slicings for $k = \pm 1$)
- ❖ **WIDE REGIONS** where the two couplings $\alpha' R$ and $g_s = e^\phi$ are **SMALL**

Stability ?

Breitenlohner – Freedman Bounds

- Poincaré coordinates:
- E.g: Klein-Gordon eq.:
- Schrödinger-like:
- BF Bound:

$$ds^2 = R_{AdS}^2 \frac{dz^2 + \eta_{\mu\nu} dx^\mu dx^\nu}{z^2}$$

$$g^{MN} \nabla_M \nabla_N \Phi - (M R_{AdS})^2 \Phi = 0$$
$$\phi(x, z) = e^{ik \cdot x} z^{\frac{d}{2}-1} \Psi(z)$$

$$\left[-\frac{d^2}{dz^2} + \frac{4 (M R_{AdS})^2 + d(d-2)}{4z^2} \right] \Psi = -k^2 \Psi$$
$$\mathcal{A} = -\frac{d}{dz} + \frac{a}{z}, \quad \mathcal{A}^\dagger = \frac{d}{dz} + \frac{a}{z}$$
$$\mathcal{A}^\dagger \mathcal{A} \Psi = -k^2 \Psi$$

$$\left(a - \frac{1}{2} \right)^2 = (M R_{AdS})^2 + \frac{(d-1)^2}{4} \geq 0$$

$AdS_3 \times S^7$ (& $AdS_7 \times S^3$) Vacua

(Basile, Mourad, AS, 2018)

- Basic Equation:

$$g_{\mu\nu} A + \nabla_\mu \nabla_\nu B = 0 \longrightarrow A = 0, \quad B = 0$$

- Scalar Perturbations:

$$\begin{aligned} b_{\mu\nu} &= \sqrt{-\lambda} \epsilon_{\mu\nu\rho} \nabla^\rho B \\ h_{\mu\nu} &= \lambda_{\mu\nu} A, \\ h_{\mu i} &= R^2 \nabla_\mu \nabla_i D, \\ h_{ij} &= \gamma_{ij} C, \end{aligned}$$

- Breitenlohner-Freedman Bound:

$$M^2 \geq -\frac{(d-1)^2}{4R_{AdS}^2}$$

(Breitenlohner, Freedman, 1982)

- OK for $\ell=0$:

$$\square B + 4 \left(\frac{1}{R_{AdS}^2} + \frac{3}{R^2} \right) (\varphi + 14C) = 0$$

$$\square \varphi - V_0'' \varphi - \left(\frac{1}{R_{AdS}^2} + \frac{3}{R^2} \right) (2\varphi + 14C) = 0$$

$$\square C - C \left(\frac{7}{R_{AdS}^2} + \frac{9}{R^2} \right) - \frac{1}{2} \varphi \left(\frac{1}{R_{AdS}^2} + \frac{3}{R^2} \right) = 0$$

$AdS_3 \times S^7$ (& $AdS_7 \times S^3$) Vacua

- $\ell \neq 0$:

$$R_{AdS}^2 \mathcal{M}^2 = \frac{L_3}{3} (\sigma_3 - 1) \mathbf{1}_3 + \begin{pmatrix} 4 + 3\sigma_3 & -\frac{7}{2} \sigma_3 & \frac{L_3}{2} (\sigma_3 - 1) \\ -2\sigma_3 & 2\sigma_3 + \tau_3 & -\frac{L_3}{3} (\sigma_3 - 1) \\ 8\sigma_3 & -4\sigma_3 & 0 \end{pmatrix},$$

$$\sigma_3 \rightarrow \frac{3}{2}, \quad \tau_3 \rightarrow \frac{9}{2}, \quad L_3 \rightarrow \ell(\ell + 6)$$

$$[\mathcal{M}^2 R_{AdS}^2 \geq -1?]$$

- Breitenlohner-Freedman Bound Violated for $\ell = 2, 3, 4$:

(Gubser, Mitra, 2002)

- [Similar result for heterotic $SO(16) \times SO(16)$ for $\ell = 1$]

$$\frac{\frac{\ell(\ell+6)}{6} + 4}{(\ell+6)(\ell+12)}$$

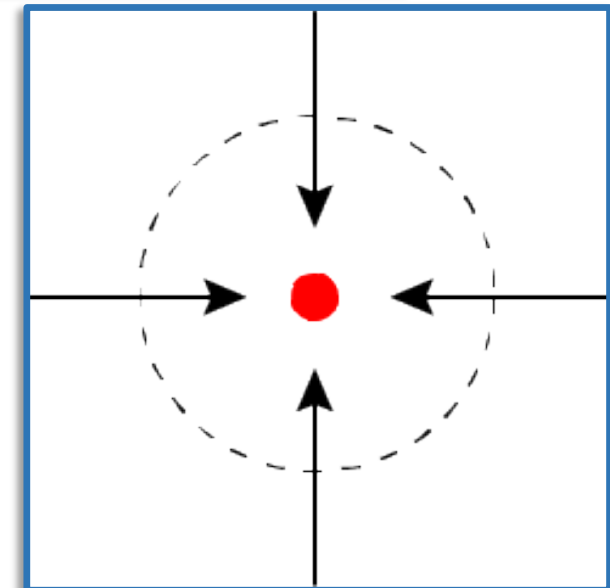
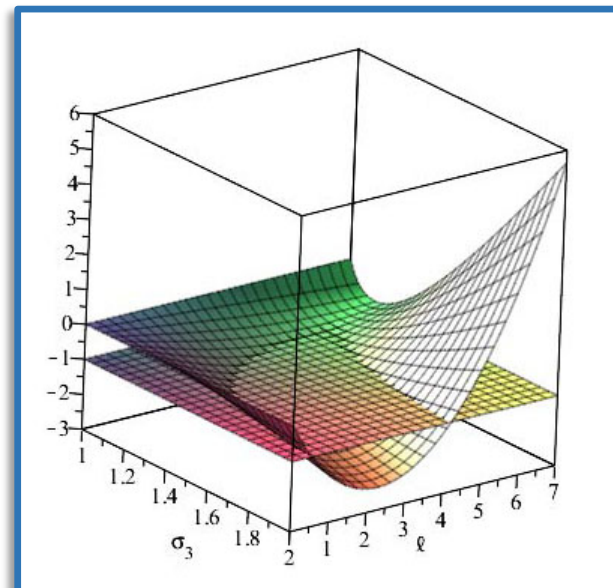
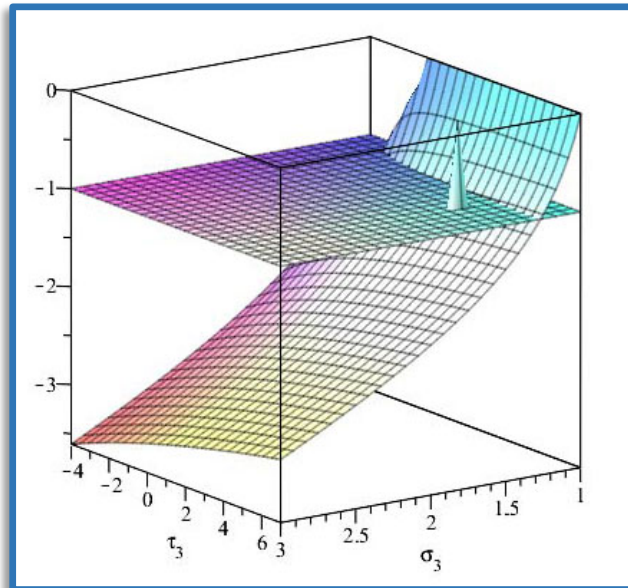
$$\frac{\ell(\ell-6)}{6}$$

$AdS_3 \times S^7$ (& $AdS_7 \times S^3$) Vacua

❖ $AdS_3 \times S^7$ Orientifold Flux vacua: WEAK coupling but UNSTABLE

- Violations of Breitenlohner–Freedman bound for scalar modes
- HOWEVER: wide nearby regions of stability. From QUANTUM CORRECTIONS?
- (At least in heterotic): instabilities can be removed by simple internal projections
- STILL: Further non-perturbative instabilities (brane decays)

(Antonelli, Basile, 2019)



Akin to Electro- (or Gravito-) static Instabilities ?

Dudas–Mourad Vacua

(Basile, Mourad, AS, 2018)

❖ Dudas–Mourad vacua: STABLE but STRONG COUPLING(s)

$$ds^2 = e^{2\Omega(z)} [(1 + A) dx^\mu dx_\mu + 2 dx^\mu dz \partial_\mu D + (1 + C) dz^2],$$

- BUT: D can be gauged away, and then $C = -7A$ (looks familiar from Cosmology ...)

$$A'' + A' \left(24\Omega' - \frac{2}{\phi'} e^{2\Omega} V_\phi \right) + A \left(m^2 - \frac{7}{4} e^{2\Omega} V - 14 e^{2\Omega} \Omega' \frac{V_\phi}{\phi'} \right) = 0$$

- Can turn into a Schrödinger-like form (recall the preceding BF arguments):

$$\begin{aligned} m^2 \Psi &= (b + \mathcal{A}^\dagger \mathcal{A}) \Psi \\ b &= \frac{7}{2} e^{2\Omega} V \frac{1}{1 + \frac{9}{4} \alpha_O y^2} > 0 \end{aligned}$$

- NO tachyons in 9D \rightarrow STABILITY

The Climbing Scalar

(Basile, Mourad, AS, 2018)

❖ **COSMOLOGY**: the issue is the time evolution of perturbations

- For large η V is negligible and tensor perturbations evolve as



$$h''_{ij} + \frac{1}{\eta} h'_{ij} + \mathbf{k}^2 h_{ij} = 0$$

$$h_{ij} \sim A_{ij} J_0(k\eta) + B_{ij} Y_0(k\eta) \quad (\mathbf{k} \neq 0)$$

$$h_{ij} \sim A_{ij} + B_{ij} \log\left(\frac{\eta}{\eta_0}\right) \quad (\mathbf{k} = 0)$$

❖ **NOTICE**: logarithmic growth for $k=0$ (instability of isotropy) !!

The Climbing Scalar

❖ More in detail:

$$\phi = -\frac{3}{4} \alpha_O t^2 + \frac{1}{3} \ln |\alpha_O t^2| + \Phi_0 ,$$

$$ds_O^2 = -e^{-\frac{3}{2} \Phi_0} |\alpha_O t^2|^{-\frac{1}{2}} e^{\frac{9}{8} \alpha_O t^2} dt^2 + |\alpha_O t^2|^{\frac{1}{18}} e^{\alpha_O \frac{t^2}{8}} d\mathbf{x} \cdot d\mathbf{x}$$

$$ds_O^2 = e^{2\Omega(\eta)} (-d\eta^2 + d\mathbf{x} \cdot d\mathbf{x}) ,$$

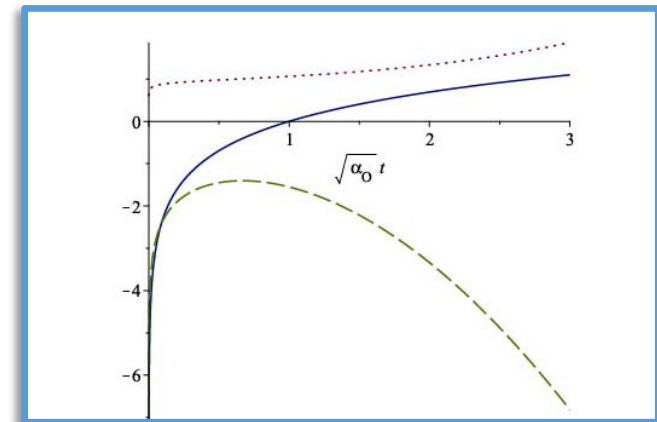
$$\phi = \phi(\eta)$$

$$d\eta = |\sqrt{\alpha_O} t|^{-\frac{5}{9}} e^{-\frac{3}{4} \Phi_0} e^{\frac{\alpha_O}{2} t^2} dt$$

❖ Exact solution in terms of the "parametric time" t : ($'$ = derivative w.r.t. conformal time η)

$$h''_{ij} + 8\Omega' h'_{ij} [+ \mathbf{k}^2 h_{ij}] = 0$$

$$h_{ij} = A_{ij} + B_{ij} \log(\sqrt{\alpha_O} t)$$



The Climbing Scalar

Cosmological Models Behave BETTER

a) CLIMBING SCALAR: INSTABILITY of ISOTROPY (k=0 only)

b) STABLE in ($D < 10$) DESCENT can be driven by mild (brane-induced) potential
[Lucchin-Matarrese attractor]

Perfect Fluid Picture:

$$\frac{p}{\rho} = \alpha = \frac{T - V}{T + V}$$
$$ds^2 = \left(\frac{\eta}{\eta_0} \right)^{\frac{4}{(d-1)(1+\alpha) - 2}} \left[- d\eta^2 + d\mathbf{x} \cdot d\mathbf{x} \right]$$
$$h_{ij} = A_{ij} + B_{ij} \eta^{\frac{(d-1)(\alpha-1)}{(d-1)(\alpha+1)-2}}$$

❖ COMPACTIFICATION to $D < 10$?

Solutions with Flux and Tension

(Mourad, AS, to appear)

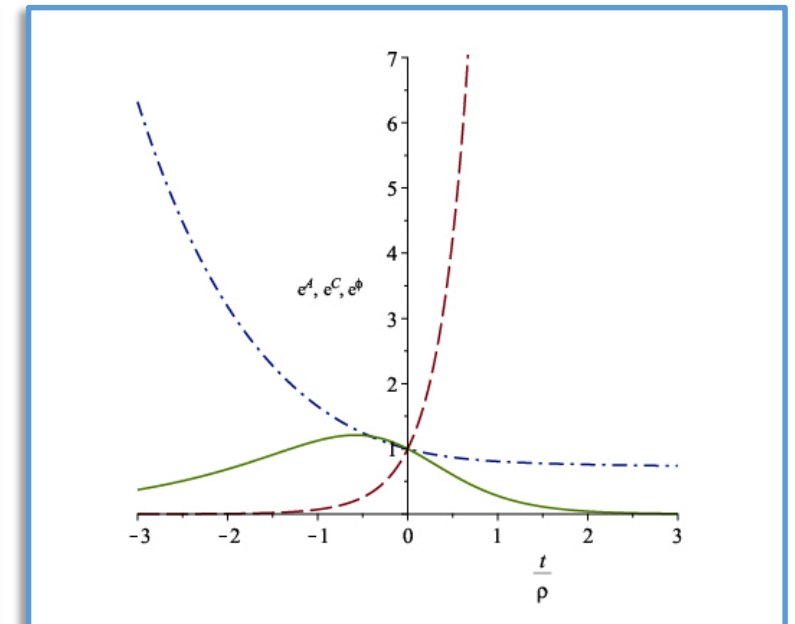
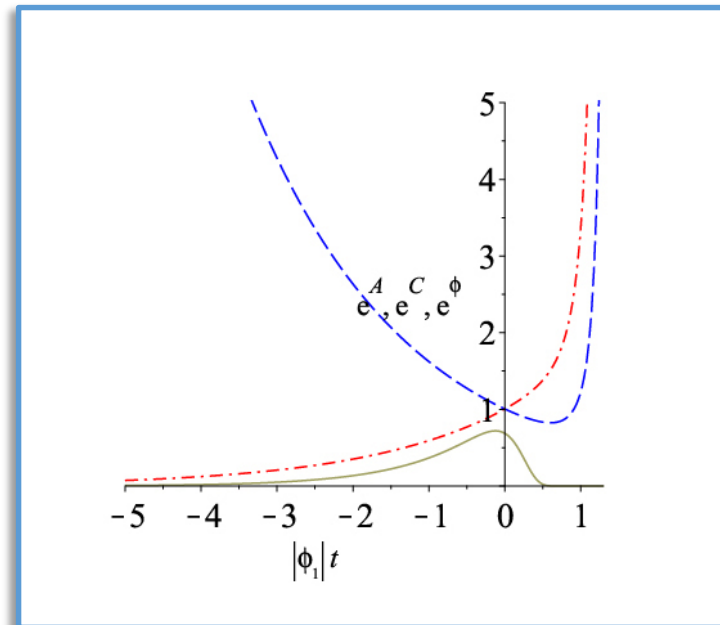
General Lesson:

- a) **SPATIAL PROFILES:** finite intervals $\leftarrow \rightarrow$ strong coupling at one or both ends
[ALSO: Scherk-Schwarz-like extensions in intervals]
- a) **COSMOLOGY:** better behavior (climbing), even with initial anisotropy
- b) **FLUXES:** can remove SOME singularities induced by the tension T

$$V = V_0 e^{2\gamma\phi} \rightarrow_{D=10} V_0 e^{\frac{3}{2}\gamma\phi}$$

Anisotropic Cosmologies:

- a) LEFT: $\gamma \leq \gamma_c$
- b) RIGHT: $\gamma > \gamma_c$



Best Wishes, Bernard !